



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Patent Application of: **Delurgio et al.**

Serial No. : 09/849,448

Art Unit: Unknown

Filed: May 4, 2001

Examiner: Unknown

For: **Interface for Merchandising Promotion Optimization**

Box: Patent Application  
Hon. Assistant Commissioner for Patents  
Washington, D.C. 20231

September 7, 2001

Dear Sirs:

**Certificate of Mail by Express Mail**  
**under 37 CFR § 1.10**

EXPRESS MAIL LABEL NO.: ET044241230US

Date of Deposit: September 7, 2001

I hereby certify that this paper, and the enclosed Information Disclosure Statement form -- list of documents and six (6) cited documents are being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated above and is addressed to Box: PATENT APPLICATION, Assistant Commissioner for Patents, Washington, D.C. 20231.

*Kay Lin*

**INFORMATION DISCLOSURE STATEMENT FILED**

**UNDER 37 C.F.R. §§ 1.56 AND 1.97(b)**

Pursuant to 37 C.F.R. § 1.56, Applicants bring the following documents to the Examiner's attention in the referenced application.

1. "Report of Novelty Search" by Patentec, dated July 25, 2001.
2. U.S. Patent Number 6,205,431 to T. Willemain et al. entitled "SYSTEM AND METHOD FOR FORECASTING INTERMITTENT DEMAND."

3. U.S. Patent Number 5,459,656 to R. Fields et al. entitled "BUSINESS METHOD PROJECTION SYSTEM AND METHOD."
4. U.S. Patent Number 5,299,115 to R. Fields et al. entitled "PRODUCT DEMAND SYSTEM AND METHOD."
5. U.S. Patent Number 5,799,286 to J. Morgan et al. entitled "AUTOMATED ACTIVITY-BASED MANAGEMENT SYSTEM."
6. U.S. Patent Number 5,732,401 to D. Conway entitled "ACTIVITY BASED COST TRACKING SYSTEMS."

Citation of the above documents shall not be construed as an admission that the documents are necessarily prior art with respect to the referenced application. Furthermore, citation of the above documents shall not be construed as a representation that a search has been made or an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

Applicants believe this paper is submitted before the mailing date of a first office action of the referenced patent application in accordance with 37 C.F.R. § 1.97(b); therefore, no fee is believed to be required.

If the Examiner has any questions or comments with respect to the present application, the Examiner is respectfully invited to telephone the undersigned at (925) 570 8198.

Respectfully submitted,



Kang S. Lim  
Attorney for Applicant(s)  
Reg. No. 37,491

**LAW OFFICES OF KANG S. LIM**  
PMB 436  
3494 Camino Tassaraja Road  
Danville, CA 94506  
Voice: (925) 570 8198  
Facsimile: (925) 736 3974

\\KSL IDS d



<b>U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE</b>  <b>LIST OF DOCUMENTS CITED BY APPLICANT TO COMPLY WITH 37 C.F.R. 1.56</b>	ATTY. DOCKET NO. <b>DT0104</b>	SERIAL NO. <b>09/849,448</b>
	APPLICANT <b>Delurgio et al.</b>	
	FILING DATE <b>05/04/01</b>	GROUP <b>Unassigned</b>

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL*	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
AA	6,205,431	03/20/01	Willemain et al.	705	10	
AB	5,459,656	10/17/95	Fields et al.	364	401	
AC	5,299,115	03/29/94	Fields et al.	364	401	
AD	5,799,286	08/25/98	Morgan et al.	705	30	
AE	5,732,401	03/24/98	Conway	705	29	

**FOREIGN PATENT DOCUMENTS**

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
					YES	NO

**OTHER DOCUMENTS** *(Including Author, Title, Date, Pertinent Pages, Etc.)*

AI		*Report of Novelty Search" by Patentec, dated July 25, 2001

EXAMINER	DATE CONSIDERED
----------	-----------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.